

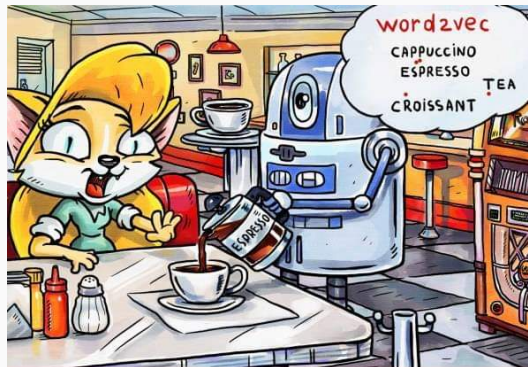
Modern NLP @ work

A (short) historical overview & recent Applications

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Representing words ...



- Espresso? But I ordered a cappuccino!
- Don't worry, the cosine distance between them is so small that they are almost the same thing.

That's not how it's intended to work ..

We encounter more and more NLP applications in everyday life:

- Chatbots are on the rise
- Alexa or Siri have become standard tools
- GoogleTranslate or DeepL are commonly used

The world's largest Tech companies are investing heavily:

- fb ai research, google ai, microsoft research have own NLP groups
- Leading researchers like Geoffrey Hinton (Google) or Yann LeCun (Facebook) start working for the industry

How to represent words? – The distributional hypothesis

Zellig S. Harris (1954):

► *Distributional Structure*

J.R. Firth (1957):

“You shall know a word by the company it keeps.”

Learn something about the meaning of *football* by studying which context it appears in:

.. the score of the *football* game was 3:0 ..
.. he shot the *football* directly at the goalkeeper ..
.. last night, I was watching *football* on tv ..

One-hot vs. context-based encoding

One-hot encoding:

$$\textit{football} = [0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0]$$

$$\textit{basketball} = [0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0]$$

Two major problems:

- $\textit{similarity}(\textit{football}, \textit{basketball}) = ?$

The vectors are orthogonal to each other, so $\textit{sim}(w_i, w_j) = 0 \forall i, j$

- The dimensionality of these vectors?

One-hot vs. context-based encoding

Context-based encoding:

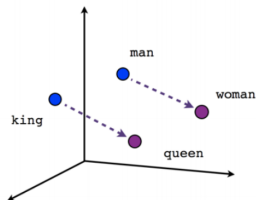
football = [0, 3, 0, 0, 1, 0, 0, 0, 0, 0, 2, 0, 2, 1, 4]

basketball = [0, 0, 0, 2, 0, 0, 0, 0, 0, 0, 2, 1, 0, 3, 3, 2]

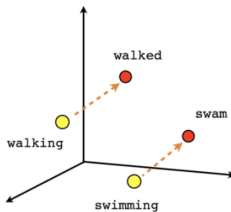
Two major problems:

- $\text{similarity}(\text{football}, \text{basketball}) = ?$
- The dimensionality of these vectors?

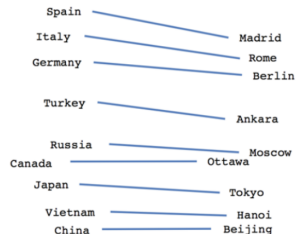
The breakthrough: *Word embeddings*



Male-Female



Verb tense



Country-Capital

Source: towardsdatascience

How did they do this?

The “Fake Task”:

- *Training objective*: Given a word, predict the neighbouring words
- *Generation of samples*: Sliding fixed-size window over the text

The	quick	brown	fox	jumps	over	the	lazy	dog
-----	-------	-------	-----	-------	------	-----	------	-----

⇒ (the, quick); (the, brown)

The	quick	brown	fox	jumps	over	the	lazy	dog
-----	-------	-------	-----	-------	------	-----	------	-----

⇒ (quick, the); (quick, brown); (quick, fox)

The	quick	brown	fox	jumps	over	the	lazy	dog
-----	-------	-------	-----	-------	------	-----	------	-----

⇒ (brown, the); (brown, quick), (brown, fox), (brown, jumps)

Four papers out there:

- About the model architectures:

▸ Mikolov et al. (2013a)

- Computational subtleties:

▸ Mikolov et al. (2013b)

- Linguistic Regularities:

▸ Mikolov et al. (2013c)

- Use for Machine Translation:

▸ Mikolov et al. (2013d)

1st Generation of neural embeddings are “*context-free*”

- Breakthrough paper by Mikolov et al, 2013 (Word2Vec)
- Followed by Pennington et al, 2014 (GloVe)
- Extension of Word2Vec by Bojanowski et al, 2016 (FastText)

Why “Context-free”?

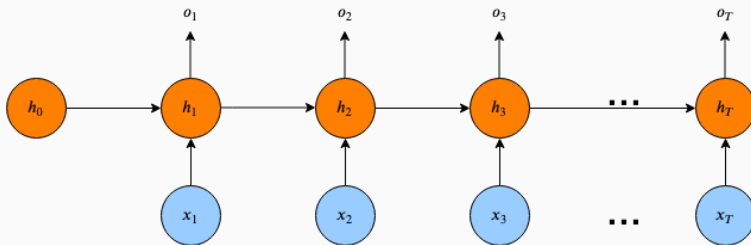
- Models learn *one single* embedding for each word
- Why could this possibly be problematic?
 - “The *default* setting of the function is xyz.”
 - “The probability of *default* is rather high.”
- Would be nice to have different embeddings for these two occurrences

“Contextual” embeddings?

- Model makes further use of the context a word appears in
- Embeddings depend on the context around a word
- Requires us to process sequences → **RNNs/LSTMs**:
 - Take sequences (e.g. time series) as inputs
 - But also: Sequences of characters, words or tokens
- Distinguish between *Uni-* & *Bidirectional* contextuality

Recurrent neural networks

Processing one part of the input at a time:



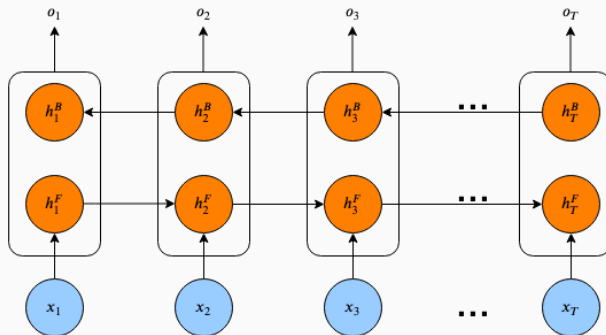
An unrolled (unidirectional) recurrent neural network

Why bidirectionality?

- Vanilla RNNs/LSTMs just capture the left hand context
- This might make sense when considering the language modelling objective
- *Counterexample:* Machine Translation
 - Translation of a word might also depend on the right hand context

Bidirectionality

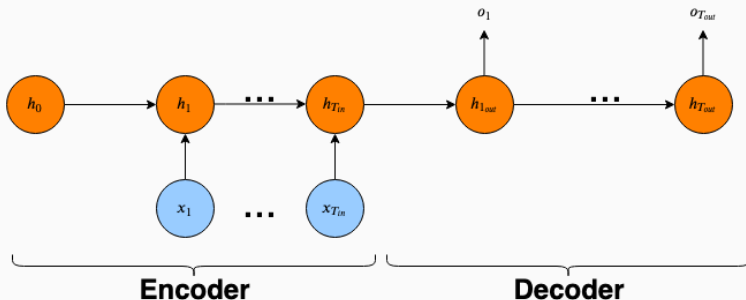
Simultaneously running a backward RNN:



An unrolled bidirectional recurrent neural network

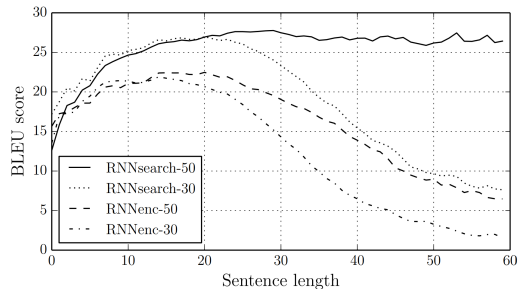
Encoder-Decoder architectures

Graphical illustration:



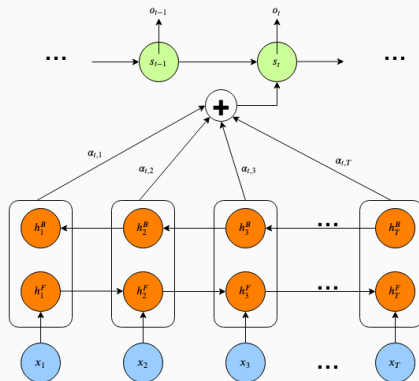
An unrolled (unidirectional) encoder-decoder RNN

Long-range dependencies



Source: Bahdanau et al. (2014)

- RNNenc (classical encoder-decoder); RNNsearch (with Attention)
- BLEU score: Measure for translation quality (higher is better)



Use weighted combinations of all the (concatenated) hidden states

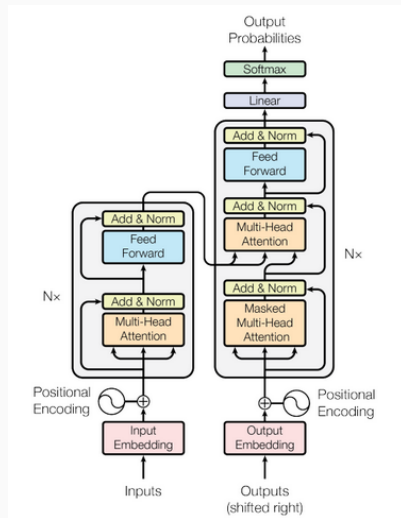
Attention Is All You Need

The basic ingredients:

- Model architecture introduced by [Vaswani et al. \(2017\)](#)
- Encoder-Decoder framework relying completely on Self-Attention
→ **No** recurrence at any place in the network
- Requires large matrix multiplications, **but:** parallelizable
- Initial use case: Machine Translation

Further use: *Kick-starts a new era of unsupervised representation learning.*

The Transformer



Source: Vaswani et al. (2017)

2013 - word2vec

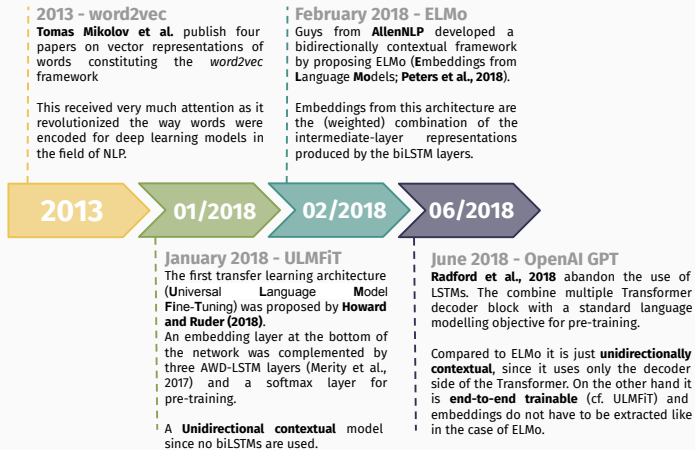
Tomas Mikolov et al. publish four papers on vector representations of words constituting the *word2vec* framework

This received very much attention as it revolutionized the way words were encoded for deep learning models in the field of NLP.

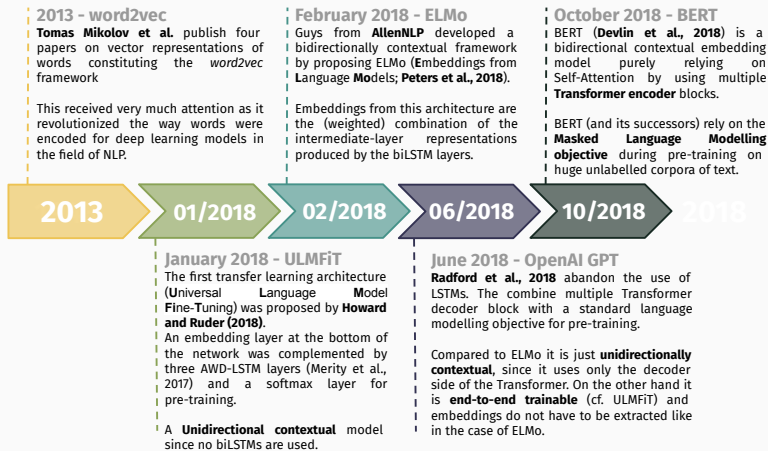


2013

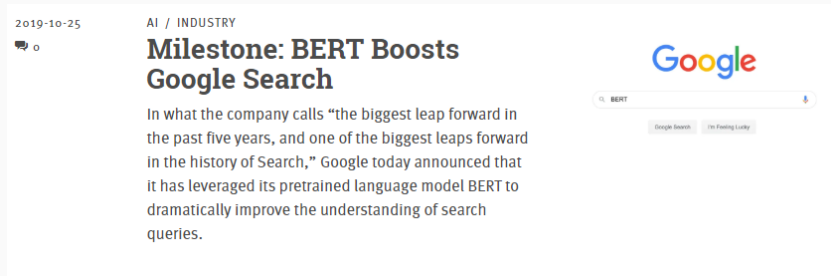
Advancing Word Embeddings



Advancing Word Embeddings



Since 2019:

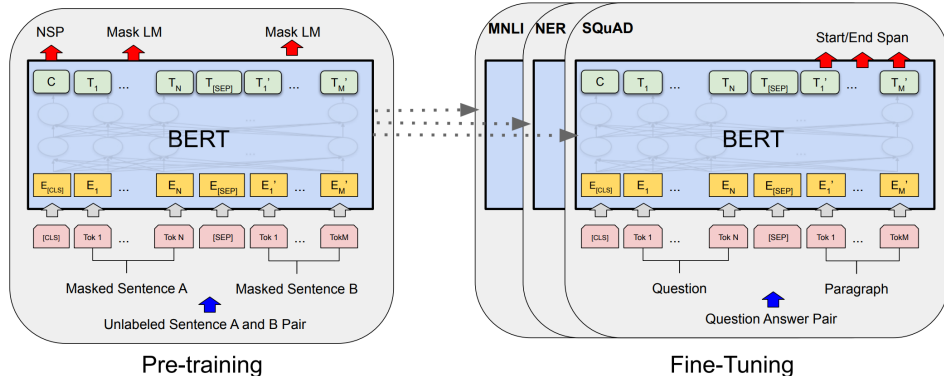


Source: Synced

Corresponding blog post by Google:

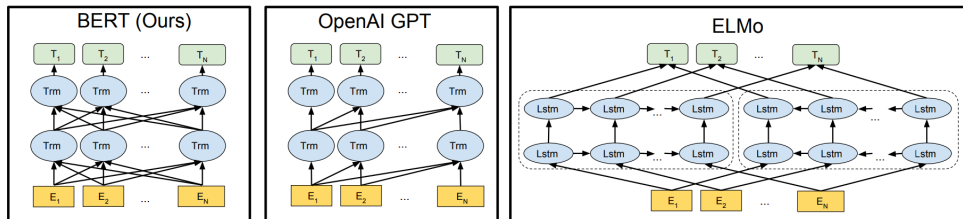
<https://www.blog.google/products/search/search-language-understanding-bert/>

Fine-tuning BERT



Source: Devlin et al. (2019)

GPT vs. ELMo vs. BERT



Source: Devlin et al. (2019)

October 2018 - BERT

BERT (**Devlin et al., 2018**) is a bidirectional contextual embedding model purely relying on Self-Attention by using multiple **Transformer encoder** blocks.

BERT (and its successors) rely on the **Masked Language Modelling objective** during pre-training on huge unlabelled corpora of text.

10/2018

Successors of BERT

October 2018 - BERT

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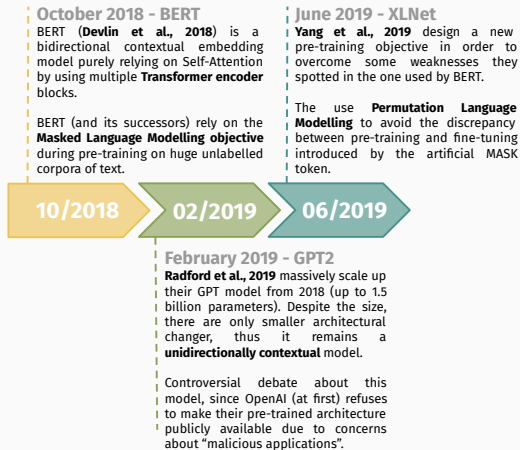
02/2019

February 2019 - GPT2

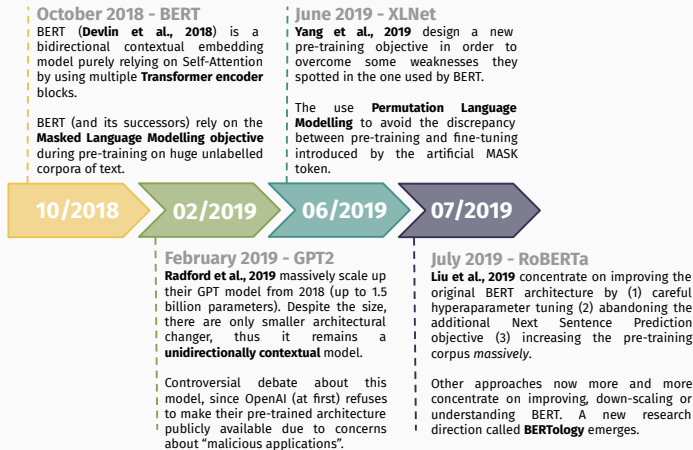
Radford et al., 2019 massively scale up their GPT model from 2018 (up to 1.5 billion parameters). Despite the size, there are only smaller architectural changes, thus it remains a **unidirectionally contextual** model.

Controversial debate about this model, since OpenAI (at first) refuses to make their pre-trained architecture publicly available due to concerns about “malicious applications”.

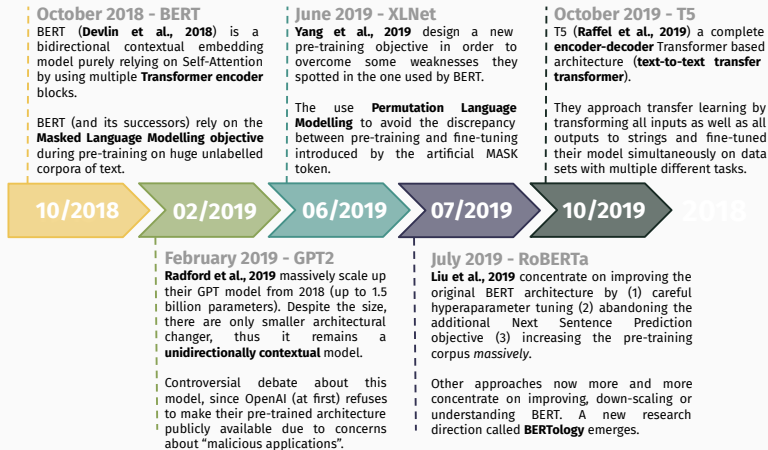
Successors of BERT



Successors of BERT



Successors of BERT



Now what does this all mean?

Key facts:

- *Super large* models applicable to a wide range of tasks
- Compute and data hungry, but:
 - pre-trained versions available
 - for a wide range of languages

Two exemplary use cases:

- Fake News Detection ▶ Guderlei & Aßenmacher (2020)
- Measuring customer centricity ▶ Lebmeier et al. (2021)

Task description: Stance detection of article body towards headline

Headline: Hundreds of Palestinians flee floods in Gaza as Israel opens dams

Agree (AGR)	Hundreds of Palestinians were evacuated from their homes Sunday morning after Israeli authorities opened a number of dams near the border, flooding the Gaza Valley in the wake of a recent severe winter storm. [...]
Disagree (DSG)	Israel has rejected allegations by government officials in the Gaza strip that authorities were responsible for released storm waters flooding parts of the besieged area. "The claim is entirely false, and [...]" [...]
Discuss (DSC)	Palestinian officials say hundreds of Gazans were forced to evacuate after Israel opened the gates of several dams on the border with the Gaza Strip, and flooded at least 80 households. Israel has denied the claim as "entirely false". [...]
Unrelated (UNR)	A Catholic priest from Massachusetts had been dead for 48 minutes before he was miraculously resuscitated. However, it is his description about God that is bound to spark a hot debate about the almighty. [...]

Data:

- *Fake News Challenge Stage 1* (FNC-1): <http://www.fakenewschallenge.org/>
- Extension: *FNC-1 ARC*
 - Extends FNC-1 data by social media data

Goals:

- Compare performance of different pre-trained architectures
- Evaluate how much hyperparameter tuning is necessary
 - Learning rate
 - Learning rate schedule
 - Combinations of batch size & sequence length
- Experiment with different freezing techniques

Results:

	BERT		RoBERTa		DistilBERT		ALBERT		XLNet	
Metric	FNC-1	+ ARC	FNC-1	+ ARC	FNC-1	+ ARC	FNC-1	+ ARC	FNC-1	+ ARC
F_1-m	70.18	72.20	78.18	78.19	72.11	73.59	59.80	65.01	75.00	75.57
F_1 -AGR	60.31	63.48	70.69	70.57	61.95	65.29	53.19	53.97	68.00	68.57
F_1 -DSG	41.76	48.28	56.15	58.92	45.09	50.46	13.21	34.07	49.47	53.69
F_1 -DSC	80.36	78.82	86.78	84.16	82.83	80.22	76.16	75.18	83.73	81.43
F_1 -UNR	98.28	98.22	99.10	99.09	98.58	98.38	96.65	96.83	98.80	98.60

Table 4: Model performances with respect to class-wise F_1 as well as F_1 -m in comparison for FNC-1 and FNC-1 ARC. For better readability we indicate the columns for FNC-1 ARC just with "+ ARC".

Main Takeaways:

- Important to not freeze too many layers
 - Freezing everything but the last layer yields *very poor* performance
 - Freezing none of the layers leads to longer fine-tuning times
 - Freezing the embedding layer yields good performance while saving time
- RoBERTa outperforms XLNet (at a lower computational expense)
 - Suspicion: *Sequence level task; XLNet cannot “play out” its strengths*
- Learning rate as most important hyperparameter
- Models relatively robust to changes in the other hyperparameters
- *Overall:* Generally strong performance with minimal hyperparameter tuning

Problem statement:

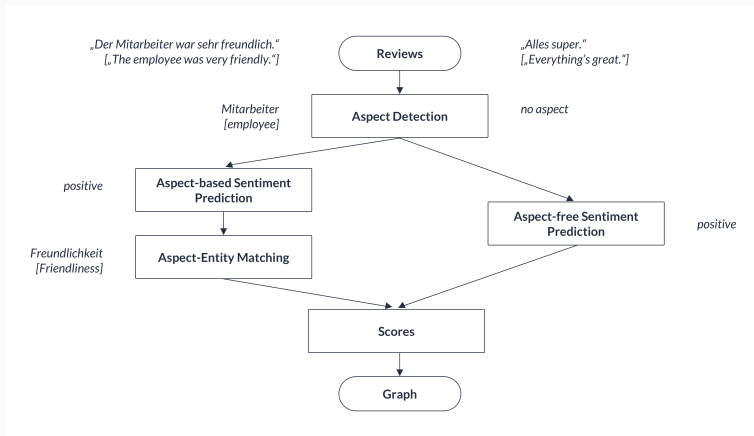
- Ubiquitous *direct & indirect* customer feedback,
e.g. directly via e-mail or publicly available via comparison portals
- Oftentimes unstructured text (sometimes accompanied by star ratings)
- Vast amount of data prohibits a manual analysis of all feedback

→ *Goal*: Extract and visualize information automatically

The Project:

- Collaboration between Insaas and LMU via a student consulting project:
 - E. Lebmeier, N. Hou (M.Sc. students, Statistics)
 - K. Spann (Managing Director, Insaas)
 - C. Heumann, M. Aßenmacher (LMU)

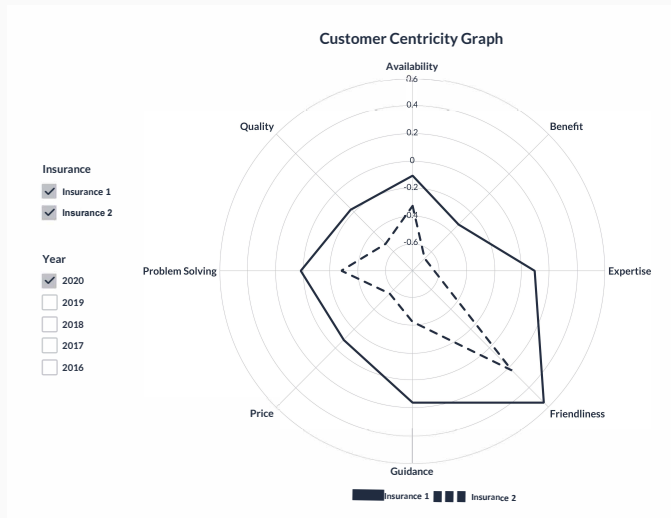
Pipeline approach:



From raw reviews to the customer centricity graph

The ingredients:

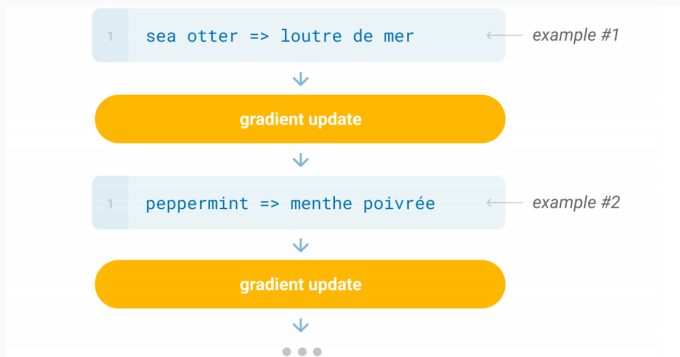
- Pre-trained German BERT models: ▸ German models @huggingface
- *Aspect detection*: ▸ German DistilBERT
- *Aspect-based Sentiment Prediction*: ▸ LCF-BERT
- *Aspect-Entity Matching*: Calculating the cosine similarity using FastText embeddings
- *Aspect-free Sentiment Prediction*: ▸ German DistilBERT



Exemplary customer centricity graph

Demo version: ► Insaas vector

“Classical” Pre-training–Fine-tuning paradigm:



Source: Brown et al. (2020)

Zero-shot learning:

Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.

```
1  Translate English to French:  ← task description
2  cheese =>                    ← prompt
    .....

```

Source: Brown et al. (2020)

One-shot learning:

One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.

1	Translate English to French:	← task description
2	sea otter => loutre de mer	← example
3	cheese =>	← prompt

Source: Brown et al. (2020)

Few-shot learning:

Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

```
1  Translate English to French:
2  sea otter => loutre de mer
3  peppermint => menthe poivrée
4  plush girafe => girafe peluche
5  cheese => .....
```

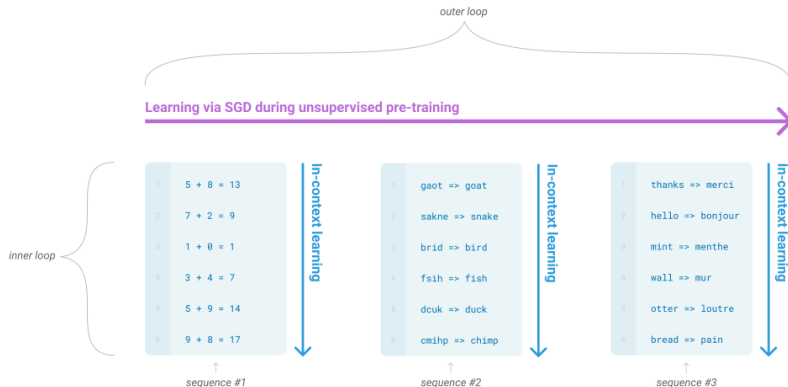
task description

examples

prompt

Source: Brown et al. (2020)

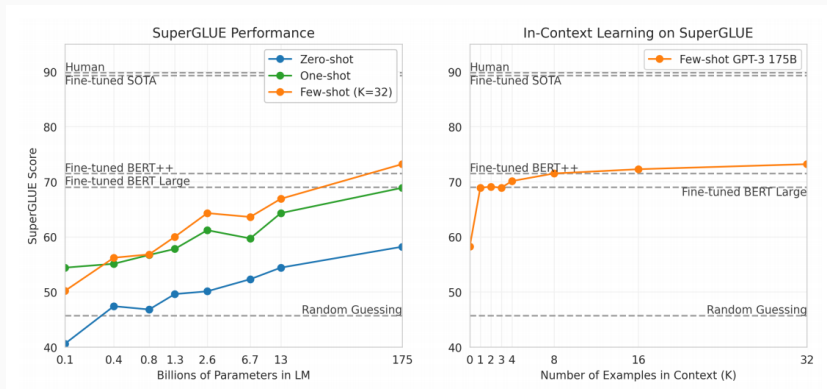
Outlook: GPT-3



Source: Brown et al. (2020)

Outlook: GPT-3

Performance:



Source: Brown et al. (2020)